SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-02										
Estimated average burden										
hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See					CHANGES	EFICIAL	IERSF	lip	Estima	Number: ated average burd					
Instruction 1(b)			Filed p		t to Section 16(a) o tion 30(h) of the Inv					4		nours	per response:	0.5	
1. Name and Address of Reporting Person* Golz Karen					er Name and Ticke EN TECHNC			ationship of Re k all applicable Director Officer (give	10% Owr		Dwner				
(Last) (First) (Middle) C/O ASPEN TECHNOLOGY, INC. 20 CROSBY DRIVE					of Earliest Transac 2021	ction (Me	onth/C	ay/Year)		below)	e uue	below			
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
BEDFORD	MA	01730								X		,	e Reporting Pers re than One Rep		
(City)	(State)	(Zip)													
		Table I - Nor	n-Derivat	ive S	ecurities Acqu	uired,	Disp	osed of, o	r Ben	eficially	Owned				
Da			2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	Instr.	5)		3, 4 and	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4				

Common	0	3/08/2021				Α	653	3 A	\$0 ⁽²) 6	53	D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Yea	Code	Transaction of Code (Instr. Der			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	4			
Director Stock Option (Right to Buy)	\$149.5	03/08/2021		A		1,287		(3)	03/07/2031	Common Stock	1,287	\$149.5	1,287	D	

Explanation of Responses:

1. Each Restricted Stock Unit represents a contingent right to receive one share of Aspen Technology, Inc. common stock. One third of the Restricted Stock Units will vest on the first anniversary of the grant date and the remainder will vest in eight equal consecutive quarterly installments on the last business day of the quarter until fully vested.

2. The Restricted Stock Units were received as a grant for no consideration.

3. One third of the options granted at the exercise price of \$149.50 will vest on the first anniversary of the grant and the remainder will vest in eight consecutive quarterly installments on the last business day of the quarter until fully vested.

/s/F.G. Hammond, attorney-in-03/10/2021 fact for Ms. Golz

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.