SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
an Continue 20(h) of the Investment Commency Act of 1040

or Section 30(n) or the investment Company Act of 1940								
1. Name and Address of Reporting Person [*] Stagno Christopher			2. Issuer Name and Ticker or Trading Symbol <u>ASPEN TECHNOLOGY INC /DE/</u> [AZPN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last)	(First)	(Middle)		X	Officer (give title below)	Other (specify below)		
C/O ASPEN TECHNOLOGY, INC.			3. Date of Earliest Transaction (Month/Day/Year) 09/01/2021		SVP, Chief Accounting Officer			
20 CROSBY DRIVE								
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) BEDFORD	MA	01730		X	Form filed by One Rep	oorting Person		
		01750	_		Form filed by More that Person	n One Reporting		
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar 5)			5. Amount of Securities Beneficially Owned Following Reported		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock ⁽¹⁾	09/01/2021		A		1,448	Α	\$0 ⁽²⁾	2,867	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and 1. Title of 3. Transaction 3A. Deemed 8. Price of 9. Number of 11. Nature 5. Number 10. Derivative Security (Instr. 3) Conversion or Exercise Price of Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Amount of Securities Underlying Derivative Security (Instr. 5) Ownership Form: Direct (D) Expiration Date (Month/Day/Year) derivative Securities of Indirect Beneficial Date (Month/Day/Year) Derivative Securities Beneficially Ownership Acquired (A) or Disposed Derivative Security Derivative Security (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Exercisable Expiration Date Code v (A) (D) Title Shares Common Stock Commo Option \$129.5 09/01/2021 1,664 (3) 08/31/2031 1,664 \$129.5 1,664 D A Stock (Right to Buy)

Explanation of Responses:

1. Each Restricted Stock Unit represents a contingent right to receive one share of Aspen Technology, Inc. common stock. The Restricted Stock Units vest in 16 equal consecutive quarterly installments on the last business day of the quarter beginning with the quarter ending September 30, 2021.

2. The Restricted Stock Units were received as a grant for no consideration.

3. The option granted at the exercise price of \$129.50 vests in 16 equal consecutive quarterly installments on the last business day of the quarter, beginning with the quarter ending September 30, 2021.

/s/F.G. Hammond, attorney-in-

fact for Mr. Stagno

** Signature of Reporting Person Date

09/03/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.